

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)

**Box Patent Application**  
ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, D.C. 20231

Attorney's Docket: 066241.0117

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Keith A. Lowery, et al.

For: METHOD AND SYSTEM FOR DYNAMIC DISTRIBUTED DATA  
CACHING

  X   Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

- (1)   89   pages of Specification, Claims and Abstract;
- (2)    4   pages of Executed Declaration and Power of Attorney;
- (3)   11   pages of Formal Drawings;
- (4)    1   page of executed Verified Statement Claiming Small Entity Status under 37 C.F.R. §§ 1.9(f) and 1.27(c)—Small Business Concern;
- (5)    4   pages of an Assignment of the invention to epicRealm Inc.;
- (6)    2   pages of a separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h);
- (7)    2   pages of an Information Disclosure Statement with PTO-1449 Form and attached references (10 references);
- (8)    2   pages of a Preliminary Amendment;
- (8)    1   page of a Certificate of Mailing by Express Mail No.: EL759174439US; and
- (9)    2   pages of a this Transmittal Form with attached patent application filing fee in the amount of \$1,600.00.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$ 355.00
Total Claims	105	20	85	X \$ 9 =	\$ 765.00
Independent Claims	15	3	12	X \$40 =	\$ 480.00
TOTAL FILING FEE =					\$1,600.00

Enclosed is a check in the amount of \$1,600.00 for the filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. **A duplicate copy of this sheet is enclosed.**

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Matthew B. Talpis  
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jc841 U.S. PTO

09/759406



01/12/01

Date: January 12, 2001

066241.0117

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Keith A. Lowery, et al.  
Filing Date: January 12, 2001  
Title: METHOD AND SYSTEM FOR DYNAMIC  
DISTRIBUTED DATA CACHING



**Box: Patent Application**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Filing Fee Transmittal (2 pages), Patent Application (89 pages), a check in the amount of \$1,600.00, Declaration and Power of Attorney (4 pages), Formal Drawings (11 pages), Assignment Cover Sheet (2 pages), Assignment (4 pages), a check in the amount of \$40.00, Information Disclosure Statement (2 pages), PTO-Form 1449 (1 page) (with 10 references), Preliminary Amendment (2 pages), Verified Statement (1 page), and a Baker Botts L.L.P. return receipt postcard (1 card) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 12th day of January, 2001, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


*Willie Jiles*

Willie Jiles

Express Mail Receipt  
No. 759174439US  
Attorney Docket No.  
066241.0117

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